

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cantor et al.

Serial No.:

10/645,816

Conf. No.:

Unassigned

Customer No.:

24961

Filed:

August 20, 2003

For:

USE OF NUCLEOTIDE ANALOGS IN THE ANALYSIS OF OLIGONUCLEOTIDE

MIXTURES AND IN HIGHLY MULTIPLEXED NUCLEIC ACID SEQUENCING

Art Unit:

1634

Examiner:

Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EL 964455905 US

Date of Deposit November 20, 2003

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. \$1.10 on the date indicated above and addressed

to:

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

Johathan Ong

#### TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Information Disclosure Statement, Forms PTO-1449 (26 pages), for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

(X) The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No.: 25491-2408B Address all correspondence to:

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**SEQUENCING** 

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Jonathan Ope

# INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits of the above-captioned application. Thus, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (26 pages) is provided herewith in connection with the above-captioned application. The cited documents listed on Form PTO-1449 are not provided herewith as they have been previously provided in connection with U.S. Serial No. 09/880,988

which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

The documents listed on the Forms PTO-1449 are in the English language with the exception of items HN, HO, IA, IB, . Items HN, HO, IA and IB (German Patent Application Nos. DE 19628178, 19731479, and German Patent numbers 4431174 and 4438630) are in the German language, and are provided with English language Derwent abstracts (items LZ, MF, MB and MA respectively) describing the subject matter. Items HE, HX, and IW (European Patnet No. 0360676, French Patent No. 2749662 and International Patent Application WO 9507361) are in the French language, and are provided with English language Derwent abstracts (items ME, MD, and MC respectively). Item HX (French Patent 2597260) which is in the French Language is provided with a Certified English language translation (Item LI). Items HC, HM, HP, HY, and JU (German Patents DE 19617011, 19618032, 19754978, 3221681 and International Patent Application WO 9803257 respectively) which are in the German Language are provided with an English language equivalent or a Certified English language translation (Items DZ, HU, HV, LG and LH respectively). Items HR, HZ, and IC (Japanese Patent Nos. JP 2215399, 4178359 and 63230086 respectively), which are in the Japanese language, are provided with English language Derwent abstracts (items LY, LX, and MG respectively) describing the subject matter. Elov et al. reference (item ML), which is in the Russian language, is supplied with a Certified English language translation, (item LJ). Item QD, (article by Yoshida et al.) is in the Japanese language and contains an English language abstract. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common:

U.S.S.N.	Filing Date	Docket No.
08/947,801	10/08/97	2001B
08/786,988	01/23/97	2001D

# USSN 10/645,816 CANTOR, et al. INFORMATION DISCLOSURE STATEMENT

<u>U.S.S.N.</u>	Filing Date	Docket No.
09/364,774	07/30/99	2401E
09/395,409	09/14/99	2403D
09/880,988	06/13/01	2408
09/678,620	10/02/00	2023B
09/297,575	05/03/99	2001US
09/364,774	07/30/99	2001E
10/136,829	04/30/02	2402D
10/428,254	05/01/03	2065
60/377,852	05/03/02	P2065
60/453,408	03/07/03	P2065B

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER, EHRMAN, WHITE, & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No.: 25491-2408B Address all correspondence to: Stephanie Seidman, Esq.

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LIST OF PATENTS AND PUBLICATIONS FOR LIST OF PATENTS AND PUBLICATIONS FOR STATEMENT

ATTY. DOCKET NO. - 25491-2408B

SERIAL NO. 10/645,816

APPLICANT CANTOR et al.

FILING DATE August 20, 2003

GROUP Unassigned

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL			D	OCUM	IENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	Α	0	0	0	5	4	7	8	01/17/02	Hillenkamp	250	288	01/14/00
	В	3	5	5	3	4	5	2	01/05/71	Tiernan <i>et al.</i>	250	41.9	02/17/69
	С	3	7	7	6	7	0	0	12/04/73	Gallant	422	65	12/08/71
	D	3	8	0	7	2	3	5	04/30/74	Lerkovitz	73	863.32	10/06/72
	E	3	9	3	1	5	1	6	01/06/76	Fletcher et al.	250	281	08/30/74
	F	4	0	4	7	0	3	0	09/06/77	Lobach	250	281	09/24/75
	G	4	0	7	6	9	8	2	02/28/78	Ritter <i>et al.</i>	250	288	10/06/76
	Н	4	1	3	9	3	4	6	02/13/79	Rabbani	422	56	11/28/77
	ı	4	2	1	4	1	5	9	07/22/80	Hillenkamp et al.	250	288	08/31/78
,	J	4	4	6	1	3	2	8	07/24/84	Kenney	422	100	06/04/82
	К	4	5	1	5	7	8	1	05/07/85	Torrence et al.	514	46	02/23/83
	L	4	5	5	4	8	3	9	11/26/85	Hewett et al.	73	864.16	10/14/83
	М	4	5	8	2	7	8	9	04/15/86	Sheldon III et al.	435	6	12/18/84
	N	4	6	0	4	3	6	3	10/18/99	Newhouse et al.	436	177	08/05/86
	0	4	6	2	5	1	1	2	11/25/86	Yoshida	250	287	06/21/84
	Р	4	6	6	3	9	4	4	05/12/87	Bernius et al.	62	51.1	07/12/85
	Q	4	7	1	1	9	5	5	12/08/87	Ward <i>et al.</i>	536	25.32	05/23/83
	R	4	7	3	3	0	7	3	03/22/88	Becker et al.	250	288	05/16/86
	s	4	7	4	0	6	9	2	04/26/88	Yamamoto <i>et al.</i>	250	288	06/12/86
	Т	4	7	7	9	4	6	7	10/25/88	Rainin <i>et al.</i>	73	863.32	01/28/87
	U	4	8	0	6	5	4	6	02/21/89	Carrico <i>et al</i> .	536	27	09/30/85
	V	4	8	2	6	3	6	0	05/02/89	lwasawa <i>et al</i> .	406	51	02/25/87

**EXAMINER** 

**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title:

ATTY. DOCKET NO. 25491-2408B

SERIAL NO. 10/645,816

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT CANTOR et al.

FILING DATE August 20, 2003 GROUP Unassigned

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#### U.S. PATENT DOCUMENTS

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& GLADINER INITIAL			D	OCUM	IENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	w	4	8	5	1	0	1	8	07/25/89	Lazzari <i>et al.</i>	55	356	11/20/87
	х	4	8	7	7	7	4	5	10/31/89	Hayes et al.	436	166	03/14/89
	Υ	4	8	8	2	1	2	7	11/21/89	Rosenthal et al.	422	50	11/12/87
	Z	4	9	2	0	2	6	4	04/24/90	Becker	250	289	01/17/89
	AA	4	9	2	5	6	2	9	05/15/90	Schramm	422	82.05	07/28/88
	AB	4	9	5	2	5	1	8	08/28/90	Johnson <i>et al.</i>	436	518	12/28/87
	AC	4	9	8	8	6	1	7	01/29/91	Landegren <i>et al.</i>	435	6	03/25/88
	AD	5	0	0	0	9	2	1	03/19/91	Hanaway et al.	422	100	02/08/90
	AE	5	0	0	3	0	5	9	03/26/91	Brennan	536	27	06/20/88
	AF	5	0	5	9	6	5	4	10/22/91	Hou et al.	525	54.1	02/16/89
	AG	5	0	6	4	7	5	4	11/12/91	Mills	436	6	11/13/87
	АН	5	1	0	8	7	0	3	04/28/92	Pfost et al.	422	65	05/10/91
	Al	5	1	1	8	6	0	5	06/02/92	Urdea et al.	436	6	09/29/88
	AJ	5	1	1	8	9	3	7	06/02/92	Hillenkamp <i>et al.</i>	250	282	08/21/90
	AK	5	1	2	2	3	4	2	06/16/92	McCulloch et al.	422	65	07/12/89
	AL	5	1	3	5	8	7	0	08/04/92	Williams et al.	436	173	06/01/90
	AM	5	1	4	3	4	5	1	09/01/92	Millgard	374	25	05/20/91
	AN	5	1	4	3	8	5	4	09/01/92	Pirrung et al.	436	518	03/07/90
	AO	5	1	4	9	6	2	5	09/22/92	Church et al.	435	6	03/28/90
	AP	5	1	6	4	5	9	4	11/07/92	Thompson et al.	250	288	10/22/91
	AQ	5	1	7	5	2	0	9	12/29/92	Beattie <i>et al.</i>	525	54.11	01/31/91
	AR	5	1	7	5	4	3	0	12/29/92	Enke <i>et al.</i>	250	282	05/17/91

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# **U.S. PATENT DOCUMENTS**

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EXAMINER INITIAL			D	осим	IENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AS	5	1	9	8	5	4	0	03/30/93	Koster	536	25.3	06/25/84
	АТ	5	2	0	2	2	3	1	04/13/93	Drmanac et al.	435	6	06/18/91
	AU	5	2	1	0	4	1	2	05/11/93	Levis et al.	648	282	01/31/91
	AV	5	2	2	1	5	1	8	06/22/93	Mills	422	ნ2	08/13/91
	AW	5	2	3	7	0	1	6	08/17/93	Ghosh et al.	525	329.4	01/05/89
	AX	5	2	4	2	9	7	4	09/07/93	Holmes	525	54.11	11/22/91
	AY	5	2	4	7	1	7	5	09/21/93	Schoen et al.	250	281	05/27/92
	AZ	5	2	6	2	1	2	8	11/16/93	Leghton <i>et al.</i>	422	100	10/23/89
	ВА	5	2	7	3	7	1	8	12/28/93	Skold <i>et al.</i>	422	101	08/07/91
	ВВ	5	2	8	8	6	4	4	02/22/94	Beavis <i>et al.</i>	436	94	11/13/92
	вс	5	3	0	0	7	7	4	04/05/94	Buttrill	250	287	04/25/91
	BD	5	3	0	6	6	1	9	04/26/94	Edwards <i>et al</i> .	435	6	06/22/93
	BE	5	3	2	5	0	2	1	06/28/94	Duckworth <i>et al.</i>	- 315	111.51	09/11/92
	BF	5	3	3	8	6	8	8	08/16/94	Deeg <i>et al</i> .	436	180	02/19/93
	BG	5	3	5	0	6	7	6	09/27/94	Oberhardt <i>et al</i> .	435	13	05/17/93
	вн	5	3	6	3	8	8	3	11/15/94	McConnell et al.	139	450	02/18/93
	ВІ	5	3	6	4	7	6	0	11/15/94	Chu <i>et al.</i>	435	6	09/22/92
	BJ	5	3	6	5	0	6	3	11/15/94	Kaesdorf <i>et al.</i>	250	288	06/21/93
	вк	5	3	8	1	0	0	8	01/10/95	Tanner <i>et al.</i>	250	288	05/11/93
	BL	5	3	8	2	7	9	3	01/17/95	Weinberg er <i>et al.</i>	250	288	01/06/92
	вм	5	4	0	3	7	1	1	04/04/95	Walder <i>et al.</i>	435	6	07/06/93
	BN	5	4	2	7	9	2	9	06/27/95	Richards <i>et al.</i>	435	91.2	05/03/93

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EXAMINER INITIAL			D	ocun	IENT I	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	во	5	4	3	6	1	4	3	07/25/95	Hyman	435	91.2	12/23/92
	BP	5	4	3	9	6	4	9	08/08/95	Tseung et al.	422	99	09/23/93
	BQ	5	4	4	0	1	1	9	08/08/95	Labowsy	250	282	03/30/94
	BR	5	4	5	3	6	1	ŝ	09/26/95	Gray et al.	250	281	10/21/94
	BS	5	4	5	9	0	3	9	10/17/95	Modrich et al.	435	6	03/18/94
	ВТ	5	4	9	8	5	4	5	03/12/96	Vestal	436	47	07/21/94
	BU	5	5	0	3	9	8	0	04/96	Cantor	435	6	10/17/94
	BV	5	5	0	8	1	6	9	04/16/96	Deugau <i>et al.</i>	435	6	10/28/94
	вw	5	5	1	0	2	7	0	04/23/96	Fodor et al.	436	518	09/30/92
	вх	5	5	1	2	2	9	5	04/30/96	Kornberg et al.	424	450	11/10/94
	BY	5	5	1	2	4	3	9	04/30/96	Hornes et al.	435	6	07/06/94
	BZ	5	5	1	4	5	4	8	05/07/96	Krebber <i>et al.</i>	435	6	02/17/94
	CA	5	5	2	5	4	6	4	06/11/96	Drmanac <i>et al.</i>	435	6	02/28/94
	СВ	5	5	3	2	2	2	7	07/02/96	Golub <i>et al</i> .	514	152	12/21/94
	СС	5	5	3	8	8	9	7	07/23/96	Yates et al.	436	89	03/14/94
	CD	5	5	4	7	8	3	5	08/20/96	Köster	435	6	01/06/94
	CE	5	5	6	3	4	1	0	10/08/96	Mullock	250	288	03/03/94
	CF	5	5	7	8	4	4	4	11/26/96	Edwards et al.	435	6	12/20/93
	CG	5	5	8	0	4	3	4	12/03/96	Robotti <i>et al.</i>	204	451	02/29/96
	СН	5	5	8	0	7	3	3	12/03/96	Levis <i>et al.</i>	435	6	09/06/94
	CI	5	5	8	9	1	3	6	12/31/96	Northrup <i>et al.</i>	422	102	06/20/95
	CJ	5	5	9	9	5	0	0	02/04/97	Jones	422	62	05/10/95

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U.S. PATENT DOCUMENTS

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EXAMINER INITIAL			D	осим	ENT N	IUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	СК	5	6	0	1	9	8	2	02/11/97	Sargent <i>et al.</i>	435	6	02/07/95
	CL	5	6	0	5	6	6	2	02/25/97	Heller	422	68.1	11/01/93
	СМ	5	6	0	5	7	9	8	02/25/97	Köster	435	6	03/17/95
	CN	5	6	0	7	9	1	2	03/04/97	Samejima <i>et al.</i>	510	411	09/09/92
	со	5	6	0	9	9	0	7	03/11/97	Natan	427	2.12	09/20/95
	СР	5	6	2	2	8	2	4	04/22/97	Köster	435	6	02/10/95
	cσ	5	6	3	1	1	3	4	05/20/97	Cantor	435	6	06/05/95
	CR	5	6	3	3	4	9	6	05/27/97	Sakairi <i>et al.</i>	250	288	03/17/95
	cs	5	6	3	5	7	1	3	06/03/97	Labowsky	250	282	06/16/95
	СТ	5	6	4	3	8	0	0	07/01/97	Tarantino et al.	436	518	09/21/95
	CU	5	6	5	0	4	8	9	07/22/97	Lam <i>et al.</i>	530	334	06/19/91
	cv	5	6	5	4	1	5	0	08/05/97	King et al.	435	6	06/07/95
	cw	5	6	6	3	2	4	2	03/31/95	Ghosh <i>et al.</i>	525	329.4	09/02/92
	сх	5	6	6	5	9	6	7	09/09/97	Coxon <i>et al.</i>	250	287	05/24/96
	CY	5	6	7	0	3	2	2	09/23/97	Eggers <i>et al</i> .	435	6	06/01/95
	CZ	5	6	7	0	3	8	1	09/23/97	Jou <i>et al.</i>	436	518	05/08/95
	DA	5	6	7	7	1	9	5	10/14/97	Winker et al.	436	518	11/20/92
	DB	5	6	8	3	8	8	1	11/04/97	Skiena <i>et al.</i>	435	6	10/20/95
	DC	5	6	8	6	6	5	6	11/11/97	Amirav <i>et al.</i>	73	23.41	02/27/96
	DD	5	6	8	8	6	4	2	11/18/97	Chrisey et al.	435	6	12/01/94
	DE	5	6	9	1	1	4	1	11/25/97	Köster	435	6	06/06/95
	DF	5	6	9	3	4	6	3	12/02/97	Edwards et al.	435	6	12/23/92

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FILING DATE August 20, 2003 GROUP Unassigned

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL			D	OCUN	IENT N				DATE	NAME	CLASS	SUB CLASS	FILING DATE
	DG	5	7	0	0	6	4	2	12/23/97	Monforte, et al.	435	6	05/22/95
	DH	5	7	1	0	0	2	8	01/20/98	Eyal <i>et al.</i>	435	91.1	10/04/94
·	DI	5	7	1	6	7	8	0	02/10/98	Edwards <i>et al.</i>	435	6	02/07/95
	DJ	5	7	1	6	8	2	5	02/10/98	Hancock <i>et al.</i>	435	286.5	11/01/95
	DK	5	7	2	3	3	2	0	03/03/98	Dehlinger <i>et al.</i>	435	91.1	08/29/95
	DL	5	7	2	6	0	1	4	03/10/98	Edwards <i>et al.</i>	435	6	09/17/93
	DM	5	7	3	8	9	9	0	04/14/98	Edwards <i>et al.</i>	435	6	06/07/95
	DN	5	7	4	3	9	6	0	04/28/98	Tisone	118	683	07/26/96
	DO	5	7	4	4	1	3	1	04/28/98	Edwards et al.	424	78.08	06/07/95
	DP	5	7	5	6	0	5	0	05/29/98	Ershow et al.	422	100	05/26/95
	DQ	5	7	5	9	7	7	9	06/02/98	Dehlinger <i>et al.</i>	435	6	01/11/96
	DR	5	7	6	3	2	6	3	06/09/98	Dehlinger <i>et al.</i>	435	287	06/24/96
	DS	5	7	7	0	2	7	2	06/23/98	Biemann <i>et al.</i>	427	421	04/28/95
	DT	5	7	7	0	3	6	7	06/23/98	Southern et al.	435	6	02/05/96
	DU	5	7	7	0	8	6	0	06/23/98	Franzen	250	288	07/10/97
	DV	5	7	7	7	3	2	4	07/07/98	Hillenkamp	250	288	09/19/96
	DW	5	7	8	9	3	9	5	04/08/98	Abramson et al.	514	152	08/30/96
	DX	5	7	9	8	2	1	0	08/25/98	Canard <i>et al.</i>	435	6	11/20/95
	DY	5	8	0	7	5	2	2	09/98	Brown et al.	422	50	06/07/95
	DZ	5	8	2	8	0	6	3	10/27/98	Köster <i>et al.</i>	250	288	04/02/97
	EA	5	8	3	0	6	5	5	11/03/98	Monforte, et al.	435	6	04/26/96
	EB	5	8	4	6	7	1	7	12/8/98	Brow et al.	435	6	01/24/96

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ATTY. DOCKET NO. 25491-2408B

SERIAL NO. 10/645,816

ARELICANT'S INFORMATION DISCLOSURE
STATEMENT

APPLICANT CANTOR et al.

FILING DATE August 20, 2003 GROUP Unassigned

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL			D	OCUM	ENT N	IUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	EC	5	8	5	1	7	6	5	12/22/98	Köster	435	6	05/30/95
	ED	5	8	5	3	9	8	9	12/29/98	Jeffreys <i>et al.</i>	435	6	05/06/96
	EE	5	8	5	4	4	8	6	12/29/98	Dreyfus	250	288	12/27/96
	EF	5	8	6	4	1	3	7	01/26/99	Becker, et al.	250	287	10/01/96
	EG	5	8	6	9	2	4	0	02/09/99	Patterson	435	6	05/19/95
	EH	5	8	6	9	2	4	1	02/09/99	Edwards <i>et al.</i>	435	6	06/07/95
	ΕI	5	8	6	9	2	4	2	10/99	Kamb	435	6	09/18/95
	EJ	5	8	7	2	0	0	3	02/16/99	Köster	435	283.1	05/30/95
	EK	5	8	7	2	0	1	0	02/16/99	Karger et al.	436	173	07/03/96
	EL	5	8	8	5	7	7	5	03/23/99	Haff et al.	435	6	10/04/96
	EM	5	8	8	5	8	4	1	03/23/99	Higgs Jr. <i>et al.</i>	436	89	09/11/96
	EN	5	8	8	8	7	7	8	03/30/99	Shuber	435	91.1	06/16/97
	EO	5	8	8	8	8	1	9	03/30/99	Goelet <i>et al.</i>	435	5	03/05/91
	EP	5	9	0	0	4	8	1	05/04/99	Lough <i>et al.</i>	536	55.3	11/06/96
	ΕQ	5	9	2	5	5	2	0	07/20/99	Tully et al.	435	6	02/14/97
	ER	5	9	2	7	5	4	7	07/27/99	Papen <i>et al.</i>	222	57	06/12/98
	ES	5	9	2	8	9	0	6	07/27/99	Köster <i>et al.</i>	435	91.2	05/09/96
	ET	5	9	2	8	9	5	2	07/27/99	Hutchins et al.	436	50	11/05/97
	EU	5	9	6	5	3	6	3	10/12/99	Monforte <i>et al.</i>	435	6	12/02/96
	EV	5	9	6	9	3	5	0	10/19/99	Kerley <i>et al.</i>	250	287	03/17/98
	EW	5	9	7	5	4	9	2	11/02/99	Brenes	251	175	07/14/97
	EX	5	9	7	6	7	9	8	11/02/99	Parker et al.	435	6	02/28/97

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APPLICANT CANTOR et al.

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# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL			D	осим	ENT 1	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	EY	5	9	8	5	3	5	6	11/16/99	Schultz et al.	427	8	10/18/94
	EZ	6	0	0	1	5	6	7	12/14/99	Brow <i>et al.</i>	435	6	07/12/96
	FA	6	0	0	4	7	4	4	12/21/99	Goelett <i>et al</i> .	435	5	10/11/91
	FB	6	0	0	6	1	7	1	12/21/99	Vines et al.	702	184	07/28/97
	FC	6	0	0	7	9	8	7	12/28/99	Cantor et al.	435	6	10/15/96
	FD	6	0	1	0	8	4	9	01/04/00	Edwards <i>et al.</i>	435	6	06/07/95
	FE	6	0	1	7	6	9	3	01/25/00	Yates III et al.	435	5	03/14/94
	FF	6	0	2	2	6	8	8	02/08/00	Jurinke <i>et al.</i>	435	6	05/13/96
	FG	6	0	2	4	9	2	5	02/15/00	Little et al.	422	100	01/23/97
	FH	6	0	2	5	1	9	3	02/15/00	Weiss	435	320.1	03/14/97
	FI	6	0	2	7	8	9	0	02/22/00	Ness et al.	435	6	07/22/97
	FJ	6	0	4	0	1	9	3	03/21/00	Winkler et al.	436	180	08/04/98
	FK	6	0	4	3	0	3	1	03/28/00	Köster <i>et al.</i>	435	6	03/18/96
	FL	6	0	5	1	3	7	8	04/18/00	Monforte et al.	435	6	03/04/97
	FM	6	0	6	0	0	2	2	05/09/00	Pang et al.	422	65	07/03/97
	FN	6	0	7	4	8	2	3	06/13/00	Köster	435	6	11/06/96
	FO	6	0	9	0	5	5	8	07/18/00	Butler <i>et al.</i>	435	6	09/18/98
	FP	6	1	0	4	0	2	8	08/15/00	Hunter et al.	250	288	05/29/98
	FQ	6	1	1	0	4	2	6	08/29/00	Shalon <i>et al.</i>	422	68.1	12/30/97
	FR	6	1	1	1	2	5	1	08/29/00	Hillenkamp	250	288	09/19/97
	FS	6	1	2	1	0	4	8	09/19/00	Zaffaroni <i>et al.</i>	436	45	10/18/94
	FT	6	1	2	4	1	2	9	09/26/00	Szafranski	435	252.33	02/06/98

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APPLICANT CANTOR et al.

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# U.S. PATENT DOCUMENTS

EXAMINER INITIAL			D	осим	ENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	FU	6	1	3	2	6	8	5	10/17/00	Kercso <i>et al.</i>	422	104	08/10/98
	FV	6	1	3	3	4	3	6	10/17/00	Köster <i>et al.</i>	536	24.3	09/19/97
	FW	6	1	3	6	2	6	9	10/24/00	Winkler et al.	422	61	04/21/95
	FX	6	1	4	0	0	4	5	10/31/00	Wohlstadter <i>et</i> al.	435	6	03/06/97
	FY	6	1	4	0	0	5	3	10/31/00	Köster	435	6	09/25/98
	FZ	6	1	4	6	8	5	4	11/14/00	Köster <i>et al.</i>	435	1.1	08/31/95
	GA	6	1	4	7	3	4	4	11/14/00	Annis <i>et al.</i>	250	281	01/19/99
	GB	6	1	8	8	0	6	4	02/13/01	Köster	250	282	01/28/99
	GC	6	1	9	4	1	4	4	02/27/01	Koster	435	6	03/18/96
	GD	6	1	9	7	4	9	8	03/06/01	Koster	435	5	3/17/95
	GE	6	2	0	7	3	7	0	03/27/01	Little et al.	435	6	09/02/97
	GF	6	2	0	7	3	9	0	03/27/01	Cantor et al.	435	7.1	10/03/97
	GG	6	2	1	4	5	5	1	04/10/01	Sanghvi <i>et al.</i>	435	6	07/27/98
	GH	6	2	2	1	6	0	1	04/24/01	Köster <i>et al.</i>	435	6	11/02/99
	GI	6	2	2	1	6	0	5	04/24/01	Köster	435	6	02/15/00
	GJ	6	2	2	5	0	6	1	05/01/01	Becker, Köster, Cantor	435	6	03/10/99
	GK	6	2	2	5	4	5	0	05/01/01	Köster	536	22.1	06/07/95
	GL	6	2	3	2	0	7	6	05/15/01	Schulz	435	6	02/04/00
	GM	6	2	3	5	4	7	8	05/22/01	Köster	435	6	04/06/99
	GN	6	2	3	8	8	7	1	05/29/01	Köster	435	6	05/08/00
	GO	6	2	5	8	5	3	8	07/10/01	Köster	435	6	04/06/99
	GP	6	2	6	5	7	1	6	07/24/01	Hunter et al.	250	288	02/29/00

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# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL			D	OCUM	IENT N	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
,	GΩ	6	2	6	8	1	3	1	07/31/01	Kang et al.	435	6	12/15/97
`	GR	6	2	6	8	1	4	4	07/31/01	Köster	435	6	09/15/99
	GS	6	2	7	0	8	3	5	08/07/01	Hunt et al.	427	79	10/07/99
	GТ	6	2	7	7	5	7	3	08/21/01	Köster	435	6	4/6/99
	GU	6	2	8	4	4	9	7	09/04/01	Cantor et al.	435	91.2	04/08/99
	GV	6	2	8	7	8	4	4	09/11/01	Szafranski <i>et al.</i>	435	252.33	02/06/98
	GW	6	3	0	0	0	7	6	10/09/01	Köster	435	6	01/31/00
	GX	6	3	0	3	3	0	9	10/16/01	Jurinke et al.	435	6	06/21/99
	GY	6	3	2	2	9	7	0	11/27/01	Little et al.	435	6	09/02/98

#### FOREIGN PATENT DOCUMENTS

		D	OCUN	IENT N	NUMBI	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
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 НА	0	0	5	6	4	4	6	9/28/00	PCT				
НВ	0	0	6	0	3	6	1	10/12/00	PCT				
 нс	0	1	7	5	4	6	7	03/26/86	EP				
HD	0	1	9	6	6	0	7	12/20/01	РСТ				
HE	0	3	6	0	6	7	6	03/28/90	EP				X*
HF	0	5	4	3	5	5	0	05/26/93	EP A1				
HG	0	5	9	3	7	8	9	04/27/94	EP (A1)				
нн	0	5	9	6	2	0	5	05/11/94	EP				
н	0	6	8	3	2	3	4	11/22/95	EP				

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APPLICANT CANTOR et al.

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			D	OCUM	IENT N	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Tran Yes	slation No
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	нк	0	8	2	8	8	5	5	12/15/99	EP				
	HL	1	9	6	1	7	0	11	04/27/96	DE				X+
	НМ	1	9	6	1	8	0	32	05/04/96	DE				X+
	HN	1	9	6	2	8	1	78	07/12/96	DE				X*
	но	1	9	7	3	1	4	79	08/06/98	DE A1				X*
	HP	1	9	7	5	4	9	78	12/11/97	DE				X+
	НΩ	2	0	1	7	1	0	5	03/20/79	UK				
	HR	2	2	1	5	3	9	9	08/28/90	JP				X*
	HS	2	2	3	3	6	5	4	01/16/91	GB				
	нт	2	2	6	0	8	1	1	04/28/93	GB (A)				
	HU	2	3	1	2	7	8	2	11/05/97	UK				
	HV	2	3	3	2	2	7	3	06/16/99	UK		.,	<del></del>	
	HW	2	5	9	7	2	6	0	05/02/91	FR			х	
	нх	2	7	4	9	6	6	2	11/06/96	FR				X*
	НҮ	3	2	2	1	6	8	1	12/08/83	DE			х	
-	HZ	4	1	7	8	3	5	9	06/25/92	JP A				X*
	IA	4	4	3	1	1	7	4	03/07/96	DE (A1)				X*
	IB	4	4	3	8	6	3	0	05/02/96	DE (A1)				X*
	IC	6	3	2	3	0	0	86	09/26/88	JP		···	-	X*
	ID	8	5	0	2	9	0	7	07/04/85	РСТ				
	IE	8	9	0	3	4	3	2	04/20/89	РСТ				

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**STATEMENT** 

FORM PTO-1449 (Modified)

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APPLICANT CANTOR et al.

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# FOREIGN PATENT DOCUMENTS

		D	осим	IENT N	NUMBI	€R		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
IF	8	9	0	6	7	0	0	07/27/89	PCT				
IG	8	9	1	2	6	2	4	12/28/89	PCT				
IH	8	9	1	2	6	9	4	12/28/89	PCT				
II	9	0	0	1	5	6	4	02/22/90	PCT				
IJ	9	1	1	1	5	3	3	01/10/91	РСТ				
 IK	9	1	1	5	6	0	0	10/17/91	РСТ				
IL	9	2	0	5	2	8	7	04/02/92	РСТ				
IM	9	3	0	9	6	6	8	05/27/93	PCT				
IN	9	3	1	5	4	0	7	08/05/93	PCT				
10	9	3	2	3	5	6	3	11/25/93	PCT				
 IP	9	3	2	4	8	3	4	12/09/93	PCT				
ΙQ	9	4	1	6	1	0	1	07/21/94	PCT		,		
IR	9	4	2	0	9	7	8	09/15/94	PCT				
IS	9	4	2	1	8	2	2	09/29/94	PCT				
ΙΤ	9	4	2	7	7	1	9	11/16/95	PCT				
ΙU	9	4	2	8	4	1	8	12/08/94	PCT				
IV	9	5	0	4	1	6	0	09/20/95	PCT				
IW	9	5	0	7	3	6	1	03/16/95	PCT				х*
IX	9	5	1	3	3	8	1	05/18/95	PCT				-
 ΙΥ	9	5	1	3	5	3	8	05/18/95	PCT				
IZ	9	5	1	5	4	0	0	06/08/95	PCT				
JA	9	5	2	5	7	3	7	09/28/95	PCT				

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT CANTOR et al.

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		D	OCUM	IENT I	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
JB	9	5	3	1	4	2	9	11/23/95	PCT				
JC	9	5	3	5	5	0	5	12/28/95	PCT				
 JD	9	6	1	0	6	4	8	04/11/96	PCT				
JE	9	6	1	4	4	0	6	05/17/96	PCT				-
JF	9	6	1	5	2	6	2	05/23/96	PCT				
JG	9	6	1	7	0	8	0	06/06/96	РСТ				
JH	9	6	2	1	0	4	2	07/11/96	РСТ				
JI	9	6	2	9	4	3	1	09/26/96	PCT				
IJ	9	6	3	0	5	4	5	10/03/96	РСТ				
JK	9	6	3	2	5	0	4	10/17/96	PCT				
 JL	9	6	3	6	9	8	7	11/21/96	PCT				
JM	9	6	3	7	6	3	0	11/28/96	PCT				
JN	9	7	0	8	3	0	6	03/06/97	PCT				
 JO	9	7	1	9	1	1	0	05/29/97	PCT				
JP	9	7	3	3	0	0	0	09/12/97	PCT				
 JΩ	9	7	3	7	0	4	1	10/09/97	РСТ				
JR	9	7	4	0	4	6	2	10/30/97	PCT				
 JS	9	7	4	2	3	4	8	11/13/97	PCT				
 JT	9	7	4	3	6	1	7	11/20/97	PCT				
 JU	9	8	0	3	2	5	7	01/18/98	PCT			х	
 ٦٧	9	8	1	1	2	4	9	03/19/98	PCT				
 JW	9	8	1	2	3	5	5	03/26/98	PCT				

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JX	9	8	1	2	7	3	4	03/26/98	РСТ				
 JY	9	8	1	4	9	8	2	04/09/98	PCT				
JZ	9	8	2	0	0	1	9	05/14/98	PCT				
KA	9	8	2	0	0	2	0	05/14/98	PCT				
КВ	9	8	2	0	1	6	6	05/14/98	PCT				
кс	9	8	2	3	2	8	4	06/04/98	PCT				
KD	9	8	2	4	9	3	5	11/06/98	PCT				
KE	9	8	2	6	0	9	5	06/18/98	PCT				
KF	9	8	3	3	0	5	2	07/30/98	PCT				
KG	9	8	3	3	8	0	8	08/06/98	PCT				
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<sup>\*</sup> An English DerwenAt Abstract is provided.

# OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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<sup>+</sup> An English EquivalBent Patent is provided.

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	KS	Adler <i>et al.</i> , "Cell Membrane Coating with Glutaraldehyde: Application to a Versatile Solid-Phase Assay for Thyroid Membrane Proteins and Molecules Interacting with Thyroid Membranes", <i>Anal. Biochem.</i> , <u>148</u> :320-327 (1985)
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LH	Certified English translation of the PCT Patent Application WO 98/03257, "Solid supports for analytical measurement methods, their production and their use."
LI	Certified English translation of the French Patent Application FR 2597260, "A process for automatic direct introduction of samples into a mass spectrometer and a device for implementing this process."
LJ	Certified English translation of the Russian article: Elov <i>et al.</i> "RNA Synthesis by Use of T7 RNA Polymerase and Immobilized DNA in a Flowing-type Reactor", <i>Bioorganicheskaya Khimiya</i> 17(6):789-794 (1999)
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LX	Derwent# 009135586, WPI Acc No. 1992-263024, citing Japanese Patent JP 4178359 A, "New anti-inflammatory tetracycline derivs for treating articular rheumatism, osteoarthritis, Reiter's syndrome, Lyme disease, etc."
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	MF	Derwent# 012012061, WPI Acc. No. 1998-428971/199837, citing German Patent Number DE 19731479 A, "Device for analysis of target chemcicals has light emitting array -with chemical binder elements attached to capture target chemicals which change emitted light pattern accordingly."
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МО	Fitzgerald <i>et al.</i> , "Basic Matrices for the Matrix-Assisted Laser Desorption/Ionization Mass Spectrometry of Proteins and Oligonucleotides," <i>Analytical Chemistry</i> 65: 3204-3211 (1993)
МР	Foster, et al., "Naming Names in Human Genetic Variation Research", <i>Genome Res.</i> , 8:755-757 (1998)
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MR	George et al., "Current Methods in Sequence Comparison and Analysis," Chapter 12 of: Macromolecular Sequencing and Synthesis, Selected Methods and Applications, Schlesinger (Ed.), 127-149 (1988)
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МТ	Grotjahn, L., "Oligonucleotide Sputtering from Liquid Matrices", <i>Springer Proc. Phys.</i> , 9:118-125 (1986)
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ОТ	Palejwala <i>et al.</i> , "Quantitative Multiplex Sequence Analysis of Mutational Hot Spots. Frequency and Specificity of Mutations Induced by a Site-Specific Ethenocytosine in M13 Viral DNA", <i>Biochem.</i> , 32:4105-4111 (1993)	
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Title:

FORM PTO-1449 (Modified) FARADEMARKS

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY.	DOCKET	NO.
25491	-2408B	

SERIAL NO. 10/645,816

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F	PA	Ruckman et al., "Laser-induced Ion Mass Analysis: A Novel Technique for Solid-state Examination", Vacuum, 34(10/11):911-924 (1994)
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F	PD	Schneider <i>et al.</i> , "Increased Stability of Nucleic Acids Containing 7-deaza-guanosine and 7-deaza-adenosine may Enable Rapid DNA Sequencing by Matrix-assisted Laser Desorption Mass Spectrometry", <i>Nucleic Acids Res.</i> , <u>23(9)</u> :1570-1575 (1995)
F	PE	Seckinger <i>et al.</i> , "Purification and Biologic Characterization of a Specific Tumor Necrosis Factor $\alpha$ Inhibitor", <i>J. Biochem.</i> , <u>264(20)</u> :11966-11973 (1989)
F	PF	Senko et al., "Automated Assignment of Charge States from Resolved Isotopic Peaks for Mutiply Charged Ions", J. Am. Soc. Mass Spec., 6:52-56 (1995)
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PC	Takayama, M., "1,5 Pentamediol as a Matrix for Negative-ion Fast Atom Bombardment Mass Spectrometry", <i>Org. Mass Spec.</i> , <u>26</u> :1123-1124 (1991)
PF	Tammen <i>et al.</i> , "Proteolytic Cleavage of Glucagon-like Peptide-1 by Pancreatic β Cells and by Fetal Calf Serum Analyzed by Mass Spectrometry", <i>J. Chrom. A.</i> , 852:285-295 (1999)
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PS	Tang et al., "Chip-based Genotyping by Mass Spectrometry," Proc. Natl. Acad. Sci. USA, 96:10016-10020 (1999)
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PV	Trainor, G., "DNA Sequencing, Automation, and the Human Genome", <i>Anal. Chem.</i> , 62:418-426 (1990)
PV	Uhlmann <i>et al.</i> , "Antisense Oligonucleotides: A New Therapeutic Principle", <i>Chemical Reviews</i> , 90(4):544-583 (1990)
PX	Welham et al., "The Rapid Identification of Intact Microorganisms by Matrix-assisted Laser Desorption/Ionization Time-of-flight Mass Spectrometry", <i>Pharmacy and Pharmacology Comm.</i> 4:81-87 (1998)
PY	Williams et al., "p-Nitroaniline/Glycerol: a Binary Liquid Matrix for Matrix-assisted Laser Desorption/Ionization Analysis", Eur. Mass Spec., 4:379-383 (1998)
PZ	Wolter et al., "Influence of the Matrix on the Analysis of Small Oligoribonucleotides by Fast Atom Bombardment Mass Spectrometry", J. Mass Spec., 30:485-491 (1995)
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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QB	Wu <i>et al.</i> , "The Ligation Amplification Reaction (LAR)Amplification of Specific DNA Sequences Using Sequential Rounds of Template-Dependent Ligation", <i>Genomics</i> , <u>4</u> :560-569 (1989)
QC	Yau et al., "Threshold Fluences for Production of Positive and Negative Ions in Matrix-assisted Laser Desorption/Ionisation Using Liquid and Solid Matrices", Chem. Phys. Lett., 202(1,2):93-100 (1993)
QD	Yoshida <i>et al.</i> , "Detection of High Mass Molecular Ions by Laser Desorption Time-of-flight Mass Spectrometry", <i>Shitsuryo Bunscki</i> , 36(2):59-69 (1988)
QE	Youil et al., "Screening for Mutations by Enzyme Mismatch Cleavage with T4 Endonuclease VII", Proc. Natl. Acad. Sci. USA, 92:87-91 (1995)
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